'Express Mail" Label No. EV 338472317 US	
Date of Deposit November 14, 2003	Attorney Docket No.:

ttorney Docket No.: 18649J-000420US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450/

0-21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Min Michael He et al.

Application No.:

Filed:

For: NOVEL TABLETS
INCORPORATING ISOFLAVONE
PLANT EXTRACTS AND METHODS
OF MANUFACTURING WATER
SOLUBLE POLYMER-BASED
RAPIDLY DISSOLVING TABLETS
AND PRODUCTION PROCESSES
THEREOF

Examiner:

Art Unit:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/155,281, filed 05/23/02 (Attorney Docket No. 18649J-000410US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Min Michael He et al. Application No.: Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 925-472-5000 Fax: 925-472-8895

JS:jc 60081947 v1

FORM PTO-1449 (Modified)		Attorney Docket No.:		Application No.				
	NTS AND PUBLI		Applicant: Min Michael He et al:					
APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Filing Date:		Group:				
Reference Designation U.S. PATENT DOCUMENTS Page 1								
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)		
AA								
AB								
AC								
AD								
AE								
AF								
AG		-						
AH								
AI								
AJ								
AK								
AL			•					
	·							
		FOR	EIGN PATENT DOCUME	NTS		-		
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)		
AM	DE 197 32 855 A1	0218/99	Indena	A61K	35/78	No		
AN	DE 197 32 866 A1	02/18/99	Indena	A61K	3578	No		
AO								
AP								
AQ		Ú.						
AR								
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
AS	Derwent Publica	tion Ltd., Lodon,	GB, Class A96, AN 1997-534	4403 XP00215112	22			
AT								
AU								
AV								
AW								
EXAMINER			DATE CONSIDERED					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-144	49 (Modified)		Attorney Docket No.:		Application No.:			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE			Applicant: Min Michael He, Fang-yu Liu, Joseph A. Fix, Martin Link, Maria L. Kang and Ezio Bombardelli					
	Use several sheets		Filing Date: Group:					
Reference Desig	nation	Ţ	LS. PATENT DOCUMENTS Page 1					
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)		
AA	5,830,887	11/03/98	Kelly	514	182			
AB	5,674,548	10/07/97	Nakamura et.al.	426	598			
AC	5,855,892	01/05/99	Potter et. al.	424	195.1			
AD	5,858,449	01/12/99	Crank et. al.	426	656			
AE								
		FOF	EIGN PATENT DOCUME	ENTS				
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)		
AF								
AG								
					<u></u>			
			uding Author, Title, Date, P					
AH	Anthony, et. al.: "Effects of soy insoflavones on atherosclerosis: potential mechanisms <sup>1-3</sup> " Am J Clin Nutr 1998; (68) (suppl): 1390S-3S							
AI	Greg Peterson and Stephen Barnes: "Genistein inhibition of the growth of human breast cancer cells: Independence from estrogen receptors and the multi-drug resistence gene" Biochemical and Biophysical Research Communications 08/30/91 Vol. 179, No. 1, pages (661-667)							
AJ	Lee-Jane W Lu and Karl E. Anderson: "Sex and long-term soy diets affect the metabolism and excretion of soy isolflavones in humans 1-3" Am J Clin Nutr 1998; (68) (suppl): 1500S-4S							
AK	Zhang, et. al.: "Daidzein and Genistein Glucuronides in Vitro are weakly estrogenic and activate human natural killer cells at nutritionally relevant concentrations 1.2.3" J. Nutr. 129: 399-405 (1999)							
AL	Mark Messina and Stephen Barnes: "The Role of Soy Products in Reducing Risk of Cancer 1" Journal of the National Cancer Institute Vol. 83, No. 8, 541-546 (4/17/91)							
AM								
AN	Charland et. al.: "The effects of a soybean extract on tumor growth and metastasis" International Journal of Molecular Medicine 2: 225-228 (1998)							
AO	Kathleen A. Head, N.D. "Ipriflavone: An Important Bone-Building Isoflavone" Alternative Medicine Review Vol. 4, No. 1, 10-22 (1999)							
AP			•					
AQ								
EXAMINER			DATE CONSIDERED					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.